FORM D

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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FORM D NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D. SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

	3235-0076
	March 15, 2009
Estimated avera	ige burden
hours per form.	16.00
SEC	USE ONLY
	
Prefix	Serial
1	
	RECEIVED

OMB APPROVAL

1

Name of Offering	(check if this is an ame					
Units in SFGA Short	t-Term Investment Fund, a	series of Securit	ies Finance Global	Advisors Investm	ent Funds, LLC	
Filing Under (Check b	oox(es) that apply):	☐ Rule 504	☐ Rule 505	Rule 506	☐ Section 4	I(6) ULOE
Type of Filing:	New Filing	☐ Amendment				PROCESSED
		A. BASI	C IDENTIFICAT	ION DATA		MAD O Bases
Enter the inform	ation requested about the is	suer				MAR 2 7 2009
Name of Issuer	check if this is an amer	ndment and name I	nas changed, and in	dicate change.		THOMSONREUTERS
SFGA Short-Term In	vestment Fund, a series o	of Securities Finar	nce Global Advisor	s Investment Fun	ds, LLC	THOMOUNKEUIEKS
Address of Executive	Offices		(Number and Stre	et, City, State, Zip (Code) Telepho	ne Number (Including Area Code)
c/o Securities Finan	ce Globa Advisors, LLC 1	75 Federal Street,	11 th Floor, Boston	, MA 02110		617-204-4500
Address of Principal (Offices		(Number and Stre	et, City, State, Zip (Code) Telepho	ne Number (Including Area Code)
(if different from Exec	utive Offices)					
Brief Description of B	usiness: private invest	ment company				
Type of Business Org	ganization					
	☐ corporation	☐ limited	partnership, already	formed	other (plea	09035498
	business trust	☐ limited	partnership, to be fo	rmed S	Series of an LLC	
			Month	Ye	ar	
Actual or Estimated D	Date of Incorporation or Orga	anization:	0 2	0	8	
Jurisdiction of Incorpo	oration or Organization: (En	ter two-letter U.S. I	Postal Service Abbr	eviation for State;		
		С	N for Canada: FN fo	or other foreign juris	diction)	D E

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

۹,		A. BASIC IDE	NTIFICATION DATA	1	
 Each beneficial owr Each executive office 	e issuer, if the iss ner having the pow cer and director of	uer has been organized within	ct the vote or disposition of		a class of equity securities of the issuer; tnership issuers; and
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	Manager
Full Name (Last name first, i	f individual):	Securities Finance Glo	bal Advisors, LLC		
Business or Residence Addr	ress (Number and	Street, City, State, Zip Code	: 175 Federal Street	, 11 th Floor, Bosto	on, MA 02110
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner		Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	Thome, Christopher W	•		
Business or Residence Adda Floor, Boston, MA 02110	ress (Number and	Street, City, State, Zip Code	c/o Securities Fina	nce Global Advis	sors, LLC 175 Federal Street, 11 th
Check Box(es) that Apply:	☐ Promoter	☑ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	Quant Small Cap Fund			
Business or Residence Adda Boston, MA 02110	ress (Number and	Street, City, State, Zip Code	c/o Securities Finan	ce Global Adviso	ors, LLC 175 Federal Street, 11 th Floor,
Check Box(es) that Apply:	☐ Promoter	☑ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	Quant Emerging Marke	ets Fund		
Business or Residence Addr Floor, Boston, MA 02110	ress (Number and	Street, City, State, Zip Code	c/o Securities Fina	nce Global Advis	sors, LLC 175 Federal Street, 11 th
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner		☐ Director	General and/or Managing Partner
Full Name (Last name first, i	f individual):	Jaynes, Christopher, F	1.		
Business or Residence Addr Floor, Boston, MA 02110	ress (Number and	Street, City, State, Zip Code	c/o Securities Fina	nce Global Advis	sors, LLC 175 Federal Street, 11 th
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner		☐ Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	O'Connor, Karen L.			
Business or Residence Addr Floor, Boston, MA 02110	ress (Number and	Street, City, State, Zip Code)	c/o Securities Fina	nce Global Advis	sors, LLC 175 Federal Street, 11 th
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner		Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	Danco, Walter, Ten Eye	ck		
Business or Residence Addr Floor, Boston, MA 02110	ress (Number and	Street, City, State, Zip Code)	c/o Securities Fina	nce Global Advis	sors, LLC 175 Federal Street, 11 th
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner		Director	☐ General and/or Managing Partner
Full Name (Last name first, i	f individual):	Flinn, Gloria ٿ			
Business or Residence Addr Floor, Boston, MA 02110	ess (Number and	Street, City, State, Zip Code)	c/o Securities Fina	nce Global Advis	sors, LLC 175 Federal Street, 11 th
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

	B. INFORMATION ABOUT OFFERING												
1. H	tas the issue	er sold, or o	does the is	suer inten	d to sell, to Answer a	non-accr also in App	edited inve pendix, Co	estors in th lumn 2, if f	is offering iling under	ULOE.		☐ Yes	⊠ No
2. \	2. What is the minimum investment that will be accepted from any individual?										00,000 (may be waived)		
											□ No		
((4. Enter the information requested for each person who has been or will be paid or given, directly or indirectly, any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.												
Full N	ame (Last n	ame first, if	findividual) N/A	1								
Busin	ess or Resid	ence Addr	ess (Numb	per and Str	eet, City, S	State, Zip	Code)				•		
Name	of Associate	ed Broker o	or Dealer										
	s in Which P												All States
□ [A	L] 🔲 [AK]	[AZ]	☐ [AR]	☐ (CA)	☐ [CO]	□ (СП	□ [DE]	□ [DC]	[FL]	☐ [GA]	[HI]	[ID]	
□ (Ir] 🔲 [IN]	□ [IA]	☐ [KS]	☐ [KY]	☐ [LA]	☐ [ME]	[MD]	☐ [MA]	[MI]	☐ [MN]	☐ [MS]	□ (MO)	
M] 🔲	T] 🔲 [NE]	□ [NV]	□ [NH]	□ [NJ]	□ [NM]	[NY]	☐ [NC]	□ [ND]	□ [OH]	☐ [OK]	□ [OR]	☐ [PA]	
□ [R) [SC]	☐ [SD]	□ [TN]	□ [TX]	[דט]		□ [VA]	□ [WA]	[M∧]	[WI]		□ (PR)	
Full N	ame (Last n	ame first, if	findividual)				•					
Busin	ess or Resid	ence Addr	ess (Numb	per and Str	eet, City,	State, Zip	Code)					· · ·	
Name	of Associate	ed Broker o	or Dealer				•		_			•	
	s in Which P												☐ All States
□ (A	L] [AK]	□ (AZ)	□ [AR]	CA]	□ [CO]	□ (CT)	□ [DE]	□ [DC]	[FL]	☐ [GA]	[HI]	[ID]	
ן [ונ] [IN]	□ [IA]	☐ [KS]	[KY]	☐ [LA]	☐ [ME]	[MD]	☐ [MA]	[MI]	[MN]	☐ [MS]	[MO]	
M] 🔲	T] [NE]		□ [NH]	□ [NJ]	□ [NM]	[NY]					□ [OR]	□ [PA]	
□ (R] [SC]	□ [SD]	[TN]	[XT]	□ [UT]	□ [YT]	□ [VA]	☐ [WA]	□ [WV]	□ [WI]		□ (PR)	
Full N	ame (Last n	ame first, if	f individual)									
Busin	ess or Resid	ence Addr	ess (Numb	er and Str	eet, City, S	State, Zip	Code)						
Name	of Associate	ed Broker o	or Dealer		,								
	s in Which P			_		olicit Purci	nasers						☐ All States
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] [IN]	□ [IA]	☐ (KS)	[KY]	□ [LA]	□ [ME]	[MD]	☐ [MA]	[MI]	☐ [MN]	☐ [MS]	[MO]	
□ [M	T) 🗌 [NE]	□ [NV]	☐ [NH]	[NJ]	☐ [NM]	[NY]	☐ [NC]	□ [ND]	[OH]	☐ [OK]	□ [OR]	□ [PA]	
□ (R] [sc]	☐ [SD]	□ [TN]	[XT]	[ערט]	[VT]	□ (VA)	□ [WA]	[WV]	[Wi]		[PR]	
				(Use bla	nk sheet, d	or cory an	d use addi	tional copi	es of this s	sheet, as r	ecessary)		

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box ☐ and indicate in the columns below the amounts of the securities offered for exchange and already exchanged. Aggregate **Amount Already** Offering Price Sold Type of Security Debt. \$ ☐ Preferred ☐ Common Convertible Securities (including warrants)...... Partnership Interests......\$)......**\$** Other (Specify) Unites of LLC Interests 5,000,000,000 5,000,000,000 Total Answer also in Appendix, Column 3, if filing under ULOE Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero." Aggregate Number **Dollar Amount** Investors of Purchases Accredited Investors Non-accredited Investors _ _\$ Total (for filings under Rule 504 only) n/a Answer also in Appendix, Column 4, if filing under ULOE If this filling is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C-Question 1. Types of **Dollar Amount** Security Type of Offering Sold Rule 505 ______ n/a Regulation A..... \$ n/a n/a Rule 504 n/a n/a n/a Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate. Transfer Agent's Fees...... Printing and Engraving Costs..... Legal Fees...... 90,000 Accounting Fees.....

Total

Other Expenses (identify) ___

)..... 🗖

90,000

	C. OFFERING PRICE, NUMBER OF I	NVESTORS, EXPE	NSES	AND USE OF PRO	CEEDS	<u> </u>	
4	b. Enter the difference between the aggregate offering price give Question 1 and total expenses fumished in response to Part C-Qu "adjusted gross proceeds to the issuer."	estion 4.a. This differen	ce is the		<u>\$</u>	4,999,910,000	
5	Indicate below the amount of the adjusted gross proceeds to the is used for each of the purposes shown. If the amount for any purposestimate and check the box to the left of the estimate. The total of the adjusted gross proceeds to the issuer set forth in response to F	se is not known, furnish : the payments listed mus	an st equal	Payments to Officers, Directors & Affiliates		Payments to Others	
	Salaries and fees			\$	_ 🗆	\$	
	Purchase of real estate			\$	_ 📮	\$	
	Purchase, rental or leasing and installation of machinery an	nd equipment		\$	_ 🗆	\$	
	Construction or leasing of plant buildings and facilities	***************************************		\$	_ 🗆	\$	
	Acquisition of other businesses (including the value of secu offering that may be used in exchange for the assets or secupursuant to a merger	curities of another issuer		\$		\$	
	Repayment of indebtedness			\$	_ 🗆	\$	
	Working capital			\$	_ 🛛	\$ 4,999,910,0	00
	Other (specify):			\$	_ 🗆	\$	
				\$	_ 🗆	\$	_
	Column Totals			\$		\$4,999,910,000	
	Total payments Listed (column totals added)			⊠ <u>\$4</u>	,999,910	,000	
	D. FEI	DERAL SIGNATUR	E			77 / 77 	m
CO	is issuer has duly caused this notice to be signed by the undersigne nstitutes an undertaking by the issuer to furnish to the U.S. Securitie the issuer to any non-accredited investor pursuant to paragraph (b)	s and Exchange Commi	n. If this i	notice is filed under Rule on written request of its	505, the staff, the	following signature information furnish	ed
Fu	suer (Print or Type) SFGA Short-Term Investment ind, a series of Securities Finance Global Advisors vesment Funds, LLC	re $\bigcup_{\Lambda} \bigvee_{\Lambda}$	CM		ate 3/	/12/09	
		Signer (Print or Type) As anager of the Issuer	sistant S	Secretary of Securities	Finance	Global Advisors,	

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

		E. STATE SIGNATURE						
1.	Is any party described in 17 CFR 230.262 presently provisions of such rule?	subject to any of the disqualification	☐ Yes ⊠ No					
	See Appen	idix, Column 5, for state response.						
2.	The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed a notice on Form D (17 CFR 239.500) at such times as required by state law.							
3.	The undersigned issuer hereby undertakes to furnish to the state administrators, upon written request, information furnished by the issuer to offerees.							
4.		familiar with the conditions that must be satisfied to be entitled to sfiled and understands that the issuer claiming the availability of sfied.						
	uer has read this notification and knows the contents to led person.	be true and has duly caused this notice to be signed on its beha	olf by the undersigned duly					
Issuer (Print or Type) SFGA Short-Term Investment Fund, a series of Securities Finance Global Advisors Invesment Funds, LLC		Signature	Date 3/12/09					
Name o	f Signer (Print or Type)	Title of Signer (Print or Type) Assistant Secretary of Securities						

Finance Global Advisors, LLC, Manager of the Issuer

Instruction:

Susan M. Colfer

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

				APF	ENDIX					
1		2	3			4		5	<u>.</u>	
,	Intend to non-ad investors	to sell ccredited	Type of security and aggregate offering price offered in state (Part C – Item 1)		Type of investor and amount purchased in State (Part C – Item 2)					
State	Yes No		Units of Limited Liability Company Interests	Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No	
AL							<u>.</u>			
AK										
AZ										
AR										
CA										
со					<u></u>					
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	•			APF	PENDIX					
						<u>. </u>				
1		2	3			4		5		
	to non-a	to sell ccredited s in State - Item 1)	Type of security and aggregate offering price offered in state (Part C - Item 1)		Type of investor and Amount purchased in State (Part C – Item 2)					
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No	
NY										
NC										
ND										
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OR										
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Non US										

